# Electric Quarterly Report (EQR) Application Refresh

System Overview – July 11, 2012

## Agenda

- Overview of EQR Systems Mapping Legacy to New
- Review of New System Interfaces
- Questions

## EQR Public Submission Interface Mapping between Legacy and New

Legacy System	New System
Manual shared pin number creation	eRegistration
	Company Registration with delegation
EQR Submission Application	EQR Submission Web Client
	Forms Submission Web Service which allows for Systems Integration
Requires Download and installation of client side software	Does Not Require Download or installation
This application runs unmanaged code on the clients desktop	Does not require unmanaged code to run on the client desktops
CSV Import Feature	Forms Submission Web Service
	Submit XML files
	Web client
	Import feature for XML and CSV files
	Email Notifications

# EQR Viewer Mapping Between Legacy and New

Legacy System	New System
EQR Web Viewer	EQR Web Viewer
FoxPro, CSV and XML Download	CSV and XML Download
	Data Services for Integration via EQR Service

## Legacy EQR Client Application

- Download Install & Configure
- Designate Respondent and Filing Year/Quarter
- Preparing the Submission
- Copy Data from Previous Period Functions
- Importing Data Into EQR
- Amending EQR Data
- Submitting the Filing to FERC
- Submitting the Re-filing to FERC
- Archiving and Restoring Archived Data

# Legacy System Download Install & Configure

- The existing application requires you to download and Install the application. Tasks Include:
  - Performing EQR Installation
  - Initial Operation and Setup of EQR Software
  - Downloading Your Initial EQR Database
    - Obtain PIN
  - Internet Setup
  - Configuring the EQR Application
  - Network Configuration

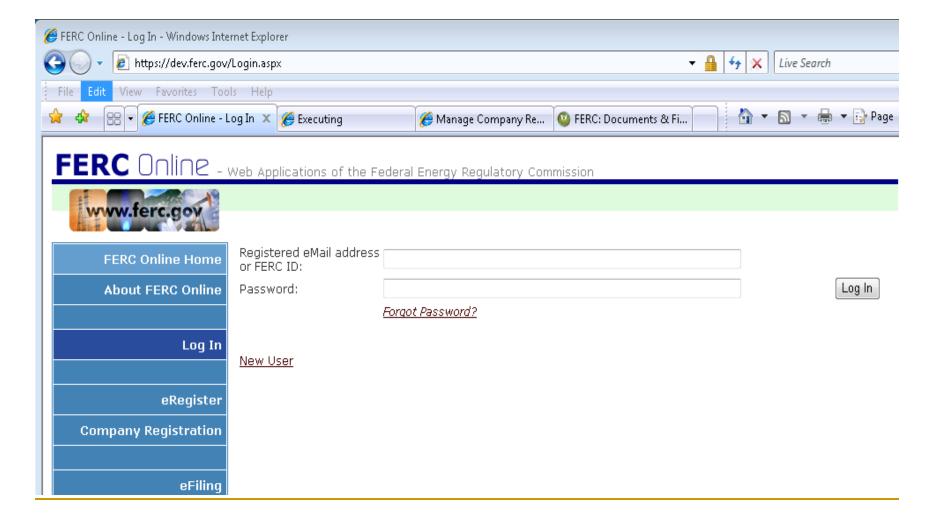
## New System Interface

- Company Registration
- EQR Web Client
  - Import XML or CSV
- EQR Web Services
- Email Notifications
- EQR Reporting Web Viewer

## Company Registration

- Login to FERCOnline
- Login to Company Registration
- Request to File the form EQR
- Delegate eRegistration Accounts
  - Who can file on behalf of the Company

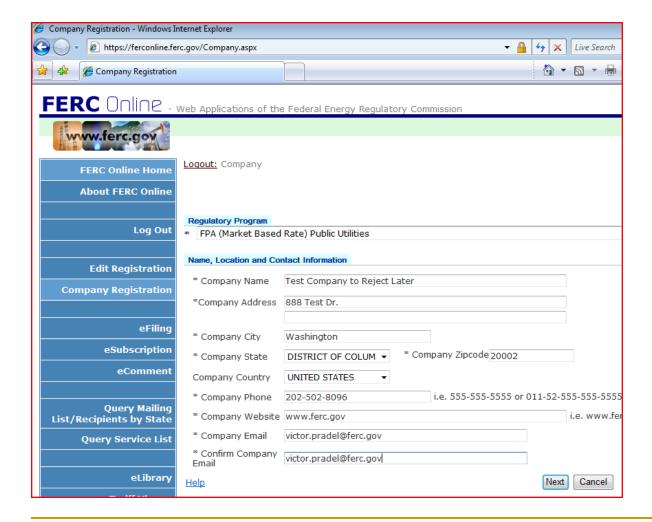
## FERCOnline Login



## Company Registration Login



## Register Company



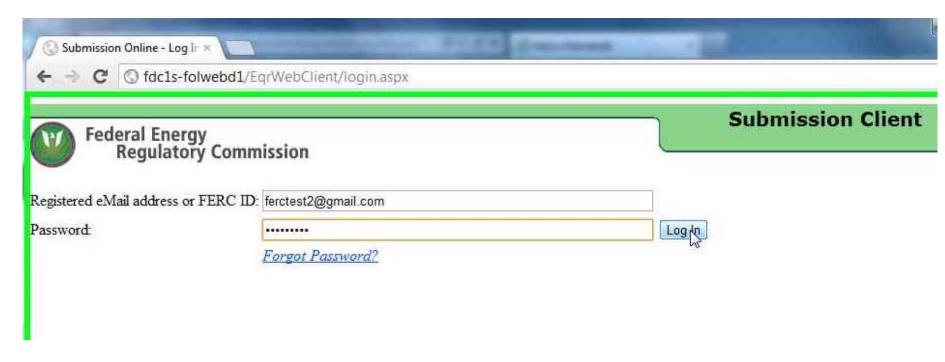
## Delegate eRegistration Accounts



## New EQR Web Client

- Login
- Assign Respondent and Filing Period
- Assign Respondent Contacts
- Assign Agents / Agent Contacts
- Assign Sellers
- Add Buyers
- Enter Contracts
- Enter Transactions
- Submit Filing

## New EQR Web Login



## Select Respondent and Filing Period

#### Select Respondent



## Select Filing Action

#### Modification Filing Modify Filing Replace entire filing with a new filing Blank Filing Prefill from CSV file / XML File 0 Next Cancel Previous New Filing Blank Filing Prefill from CSV file / XML File Previous Next Cancel

If there is any existing data for the selected filing period, the options for "Modification Filing" will be present. If there is no data "New Filing" will be indicated by the lable and only the New Filing and Prefill from CSV file /XML file options will be available.

Preffill form CSV / XML File

Will allow a user to upload CSV or XML files. The user will then be able to review and edit the uploaded data from the web client.

# Web Client – Import XML / CSV

			Import XML File
XML File:			Browse
	Upload XML File		
Previous Next	Cancel		
		Import CSV Files	
Identification CSV File:			Browse
Contract CSV File:			Browse
Transaction CSV File:			Browse
	Upload Files		
Previous Next	Cancel		

# Respondent Contacts

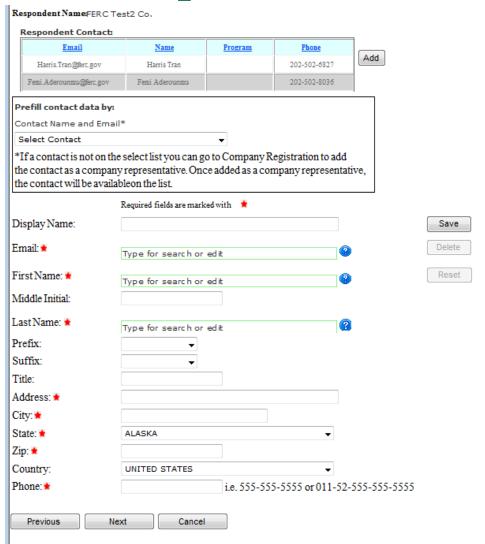
Respondent Name: FERC Test2 Co.

#### Respondent Contact:

<u>Email</u>	<u>Name</u>	Program	<u>Phone</u>	1
Harris.Tran@ferc.gov	Harris Tran		202-502-6827	l
Femi.Aderoummu@ferc.gov	Femi Aderoummi		202-502-8036	

Previous Next Cancel

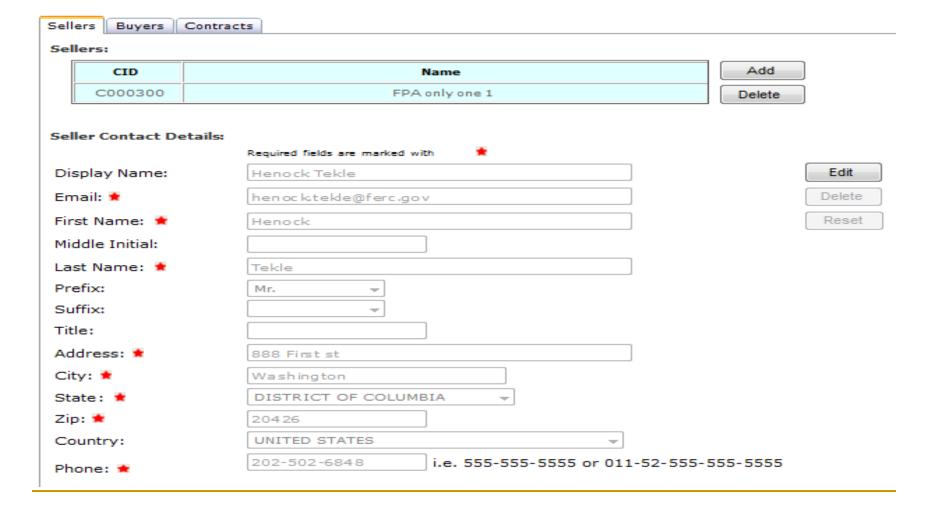
## Add Respondent Contacts



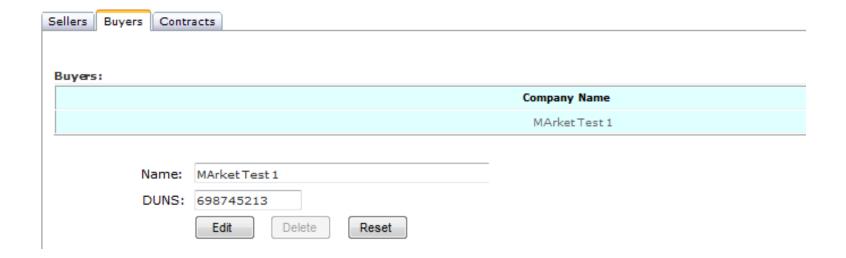
# Agents – Add Contract

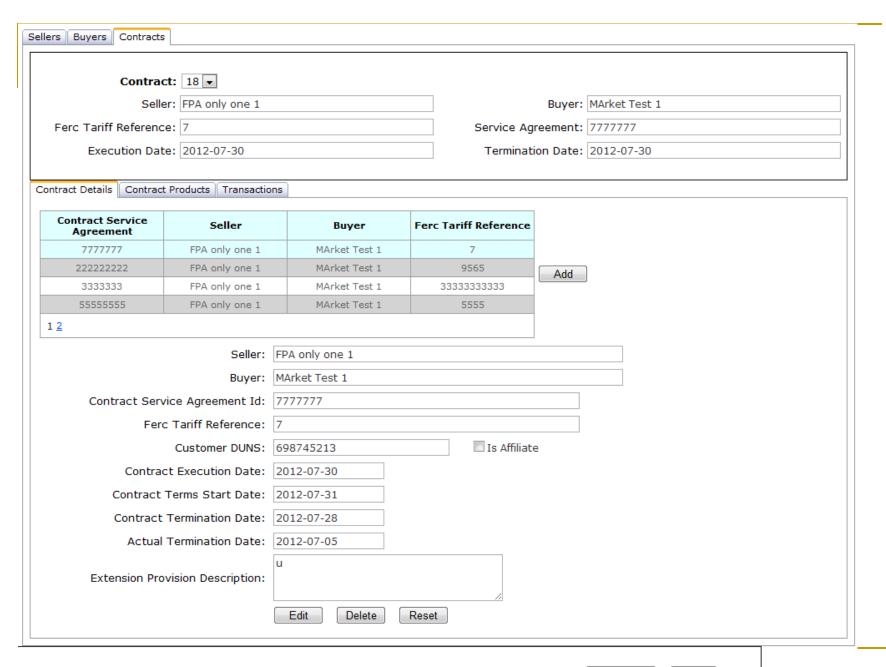
Agent Name: FERC Test 2	Co.				
Agent Contact:				_	
<u>Email</u>	Name	Program	<u>Phone</u>		
Harris.Tran@ferc.gov	Harris Tran		202-502-6827	Add	
Femi.Aderounmu@ferc.gov	Femi Aderounmu		202-502-8036		
Prefill contact data by:					
Contact Name and Emai	*				
Select Contact		▼			
*If a contact is not on the the contact as a compan- the contact will be availa	y representative. Onc				
	Required fields are mark	ced with 🇯			
Display Name:					Save
Email:★	Type for search or	ed it			Delete
First Name: *	Type for search or	ed it			Reset
Middle Initial:					
Last Name: ★	Type for search or	ed it	<u> </u>		
Prefix:	▼				
Suffix:	▼				
Title:					
Address: ★					
City: ★					
State: ★	ALASKA		▼		
Zip: ★					
Country:	UNITED STATES		•		
Phone:★		i.e. 555-555	5-5555 or 011-52-	-555-555-5555	
Previous Ne	ext Cancel				

### Sellers

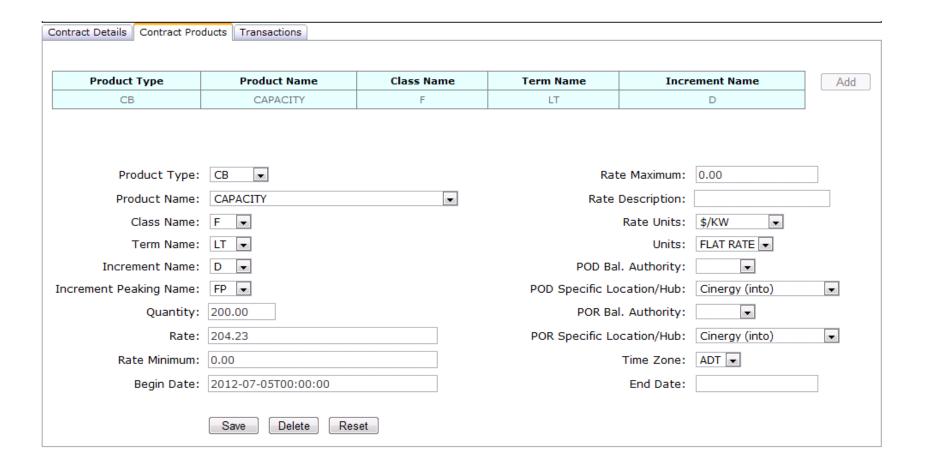


# Buyers

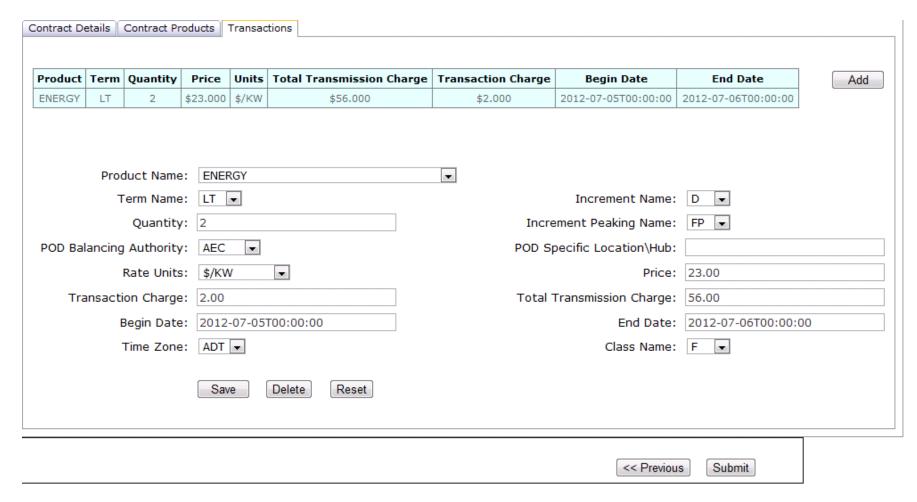




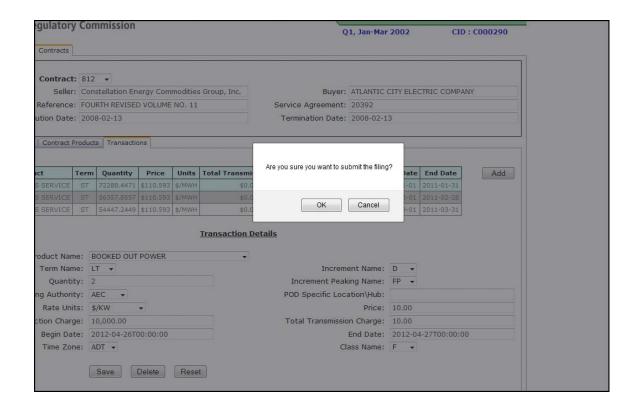
#### Contract Products



### Transactions



### Submit



## Confirmation Page

#### Confirmation

The Eqr filing has been submitted successfully.

#### Note:

After submitting a filing, the data will be locked until the filing is validated. The validation process will send out an email notification which contains the details of the outcome of the validation. If the validation is not successful, the data will be unlocked to allow correction and can be resubmitted.

Return to Home page

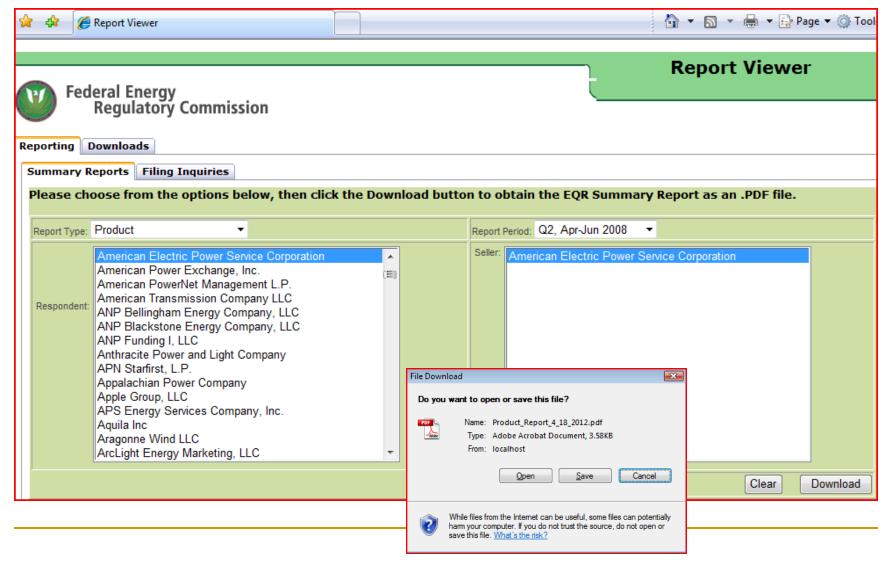
## Email Notifications

- Receipt
- Validation
- Acceptance
- Rejection

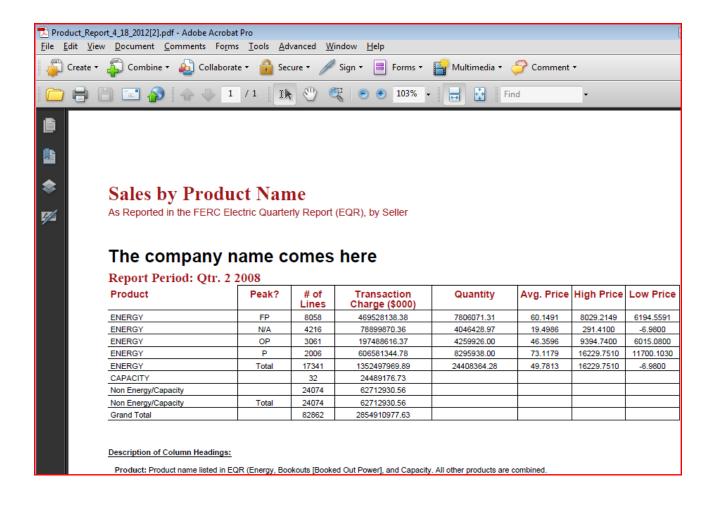
## Validation Email

- Subject: FERC EQR Validation
- ← Begin Body →
- EQR Validation
- \_\_\_\_\_
- This is to confirm that the Electronic Quarterly Report (EQR) validation facility has received the filing contained in {0}. The content of the filing was found to be:
- Submission ID:{1}
- Company Identifier: {2}
- -Filing Identifier: {3}
- -EQR Identifier: {4}
- -Validation email: {5}
- -Time file received: {6}
- -Time file began processing: {7}
- We have examined the data content of your filing. Following is the list of specific data content defects that were identified. In some cases the list may not be exhaustive based on the type of defect identified. Types of defects are:
- Errors Severe defects that result in rejection of the filing.
- Warnings Minor Defects; Filing will be accepted for processing if no Error was identified.
- ---Error List
- **8**
- --Warning List
- **•** {9}
- Thank you for participating in the FERC Electronic Filing System. If you have any questions, please contact FERC at:
- E-Mail: ferconlinesupport@ferc.gov (do not send filings to this address)
- Toll Free: 866-208-3676.
- End Body →

## New Web Viewer -Reports And Analysis

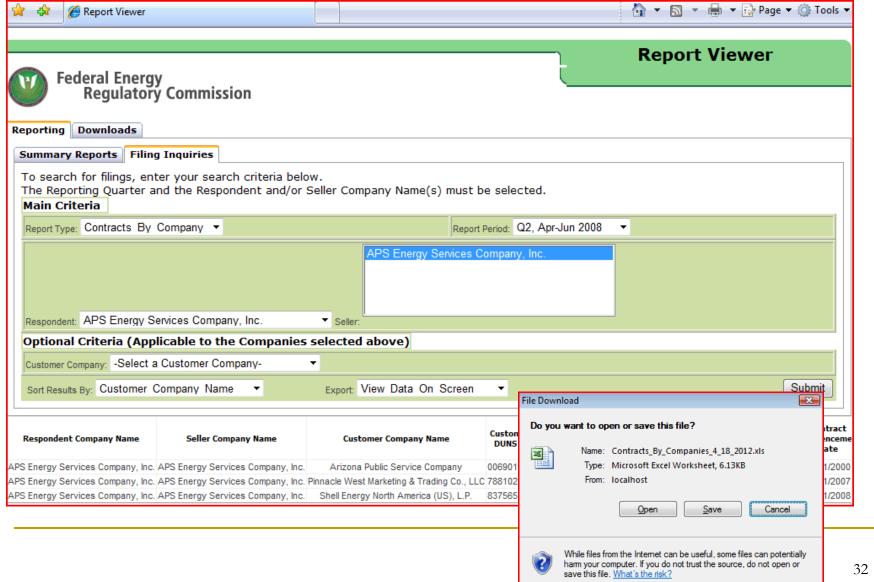


### Example Report -Sales by Product Name

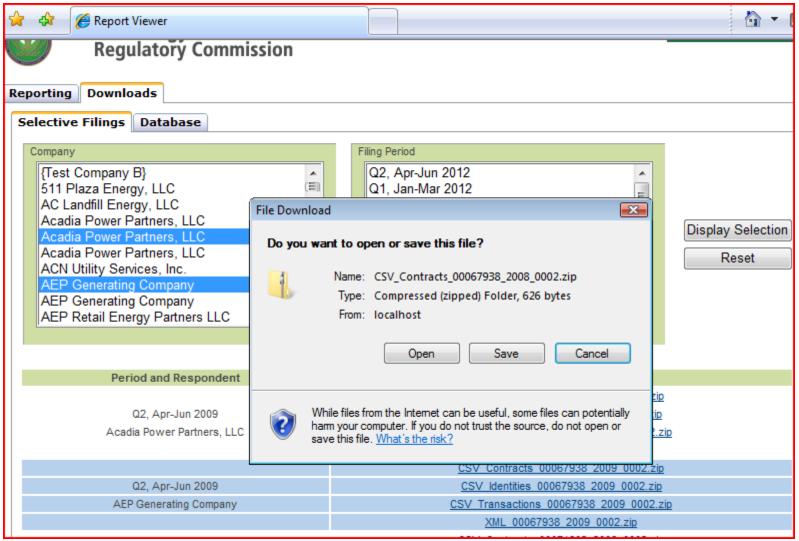


#### New Web Viewer Filing Inquiries

Contracts by Company



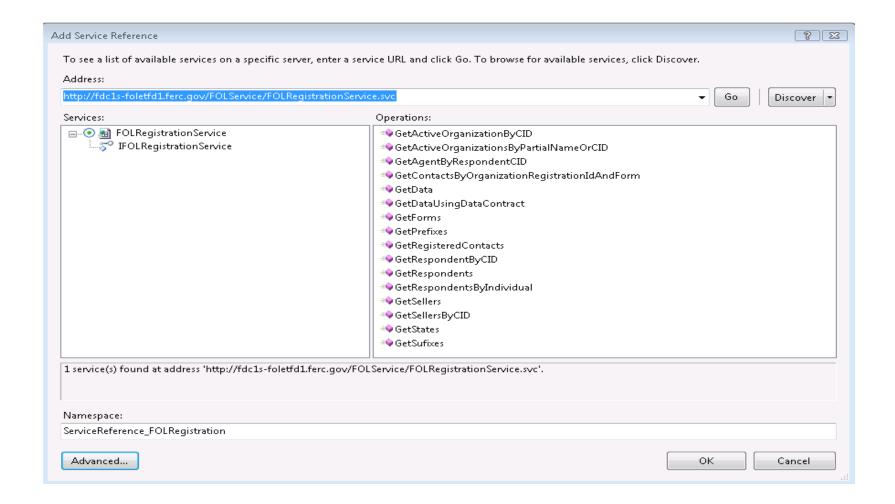
## Selective Filings Download



### New Web Services

- FOL Registration
- Forms Submission
- EQR Data Services

## New FOL Registration Service



#### New Forms Submission Service

You have created a service.

To test this service, you will need to create a client and use it to call the service. You can do this using the svcutil.exe tool from the command line with the following syntax:

```
svcutil.exe http://fdcls-foletfd1.ferc.gov/SubmissionService/SubmissionService.svc?wsdl
```

This will generate a configuration file and a code file that contains the client class. Add the two files to your client application and use the generated client class to call the Service. For example:

C#

```
class Test
{
    static void Main()
    {
        SubmissionServiceClient client = new SubmissionServiceClient();

        // Use the 'client' variable to call operations on the service.

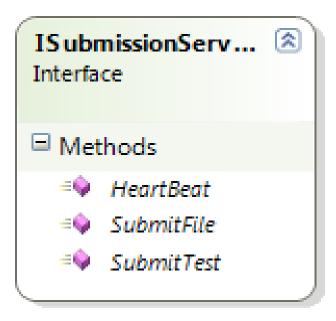
        // Always close the client.
        client.Close();
    }
}
```

#### Visual Basic

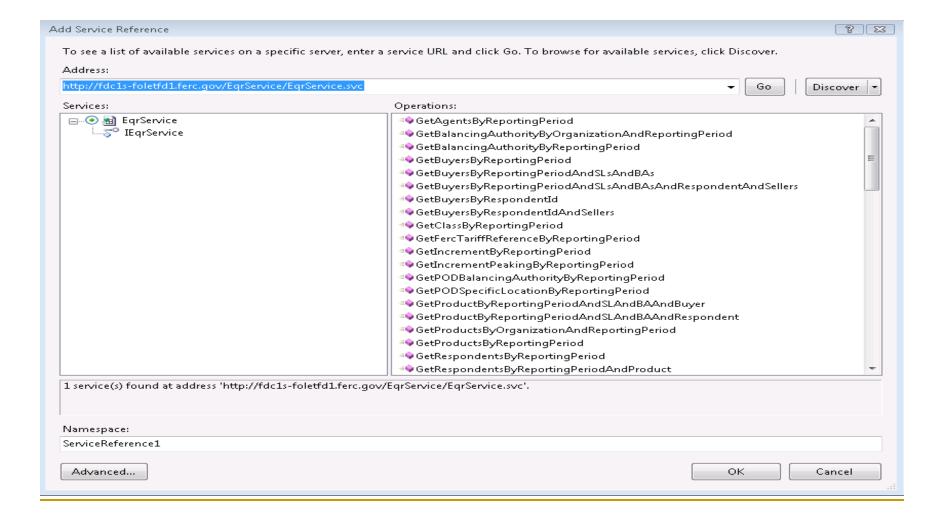
```
Class Test
    Shared Sub Main()
        Dim client As SubmissionServiceClient = New SubmissionServiceClient()
        ' Use the 'client' variable to call operations on the service.

        ' Always close the client.
        client.Close()
        End Sub
End Class
```

#### Forms Submission Service Methods



## New EQR Services



## EQR Service Methods

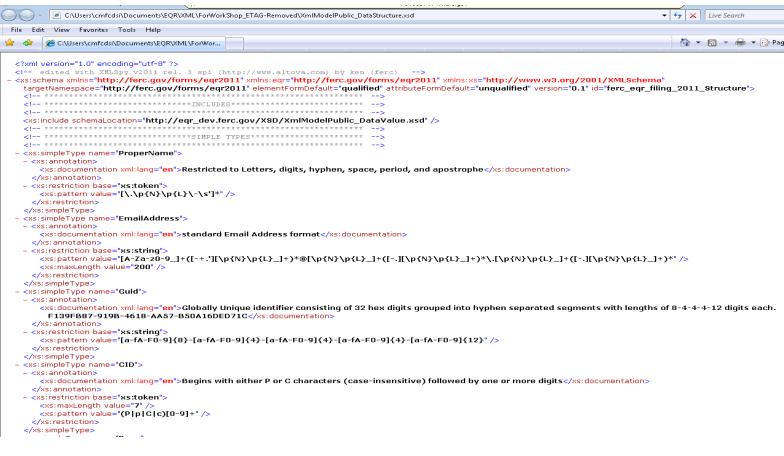
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<b>≡</b> 📦	GetBuyersByRespondentidAndSellers	
<b>≡</b>	GetClassByReportingPeriod	
<b>≡</b> 📦	getContract_ProductSelectByID	
<b>≡</b> 📦	getCantractByCID	
<b>=</b>	getContractByCompanies	
<b>≡</b> 📦	getContractProductStatusByDate	
<b>≡</b> 📦	getContractSelectBylD	
<b>≡</b> 📦	getCountries	
<b>≡</b>	getCPClassByDate	
	getEQR_TransactionSelectBylD	
	GetFercTariffReferenceByReportingPeriod	
	getFilingSelectBylD	
	getFilingStatusByDate	
	getFilingTypeByDate	
	getIncrementByDate	
	GetIncrementByReportingPeriod	
	getIncrementPeakingByDate	
	GetIncrementPeakingByReportingPeriod	
	GetPODBalancingAuthorityByReportingPeriod	
-=📦	GetPODSpecificLocationByReportingPeriod	

- getPolicy
- getProcessingErrorSubjectByDate
- GetProductByReportingPeriodAndSLAndBAAndBuyer
- GetProductByReportingPeriodAndSLAndBAAndRespondent
- GetProductsByOrganizationAndReportingPeriod
- GetProductsByReportingPeriod
- getProductTypeByDate
- getRateTypeByDate
- getRateUnitByDate
- getReportedReportingPeriod
- getReportingPeriodByDate
- getReportingPeriodByType
- getReportingPeriodByTypeYearAndNumber
- getReportingPeriodByYearAndNumber
- getReportingPeriods
- getReportingPeriodTypeByDate
- GetRespondentsByReportingPeriod
- GetRespondentsByReportingPeriodAndProduct
- GetRespondentsByReportingPeriodAndSLsAndBAs
- GetRespondentsByReportingPeriodAndSLsAndBAsAndBuyer
- GetSellersByReportingPeriod
- GetSellersByReportingPeriodAndProduct
- GetSellersByReportingPeriodAndSLsAndBAs
- GetSellersByReportingPeriodAndSLsAnsBAsAndBuyer
- GetSeilersByRespondentid
- GetServiceAgreementByReportingPeriod
- GetSpecificLocationByOrganizationAndReportingPeriod
- GetSpecificLocationByReportingPeriod

## EQR Service Methods Continued

- 🤏 getTermByDate
- GetTermByReportingPeriod
- getTimeZoneByDate
- getTransactionByCID
- GetTransactionsByCompany
- GetTransactionsByContract
- GetTransactionsByPointOfDelivery
- GetTransactionsByProduct
- getTransClassByDate
- getUnits
- 💗 getUnitsByDate
- 💗 getUnitsBylD

## Draft New EQR XML Schema





E

XmlModelPublic\_DataStructure.xsd

XmlModelPublic\_DataValue.xsd

# Questions?